

the United States Patent and Trademark Office

Applicant:

William R. Newman et al.

Docket No.:

17,640

Serial No.:

10/016,952

Group No.:

3651

Confirmation No.: 7377

Examiner:

Unknown

Filed:

December 14, 2001

Date:

August 26, 2002

For:

CARTRIDGE DISPENSING SYSTEM AND METHOD

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

AUG 3 0 2002 GROUP 3600

TECHNOLOGY CENTER R3700

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicants, through and by their attorneys, hereby wish to direct the Examiner's attention to the documents listed on the attached modified PTO Form 1449 and the copies of those documents presented herewith for the Examiner's review and convenience.

By inclusion of any given document in this Information Disclosure Statement, Applicants in no way admit that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicants recommend that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Applicants request the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicants request that the undersigned receive a copy of the initialed PTO-1449.

Serial No.: 10/016,952

If the Examiner refuses to consider any or all of the herein submitted materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicants respectfully request that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutional fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: 920-721-6854.

Respectfully submitted,

WILLIAM R. NEWMAN ET AL.

Michael J. Bendel

Registration No.: 39605

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TECHNOLOGY CENTER R3700



IN THE UNITED STATES PATENT AND TRADEMARK

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CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that the attached correspondence comprising:

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(b) Before First Office Action or Within Three Months of Filing Date 14 Page(s) of PTO Form 1449 371 Document(s)

is being deposited with the United States Postal Service as first-class mail in a box addressed to:

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A1	Re. 35,976	12/1998	Gasparrini et al.			
-	A2	D230,805	03/1974	Howard			
	A3	D247,465	03/1978	Ford			
******	A4	D256,062	07/1980	Joachim et al.			
	A5	D303,890	10/1989	Pilot			
	A6	D316,787	05/1991	Kelly			
	A7	D332,875	02/1993	Shufelt et al.			
	A8	D339,262	09/1993	McClure			150
	A9	D342,852	01/1994	Welch .		RECE	AFD
	A10	D347,534	06/1994	Gottselig		JAN 1 7	2003
	A11	D353,053	12/1994	Arnone		JAN I	
	A12	D361,895	09/1995	Arnone et al.	TEC	HNOLOGY C	ENTER R3700
	A13	D362,121	09/1995	Nugent et al.			
	A14	D362,773	10/1995	Kartchner			
	A15	D373,276	09/1996	Omdoll et al.			
	A16	D377,284	01/1997	Farrow et al.			
	A17	D381,851	08/1997	Sharpe		RE	CEIV
	A18	D383,310	09/1997	Springer			
	A19	D386,025	11/1997	Mervar et al.		AL	46 3 0 200
	A20	D387,590	12/1997	Cameron et al.		GRO	UP 3
	A21	D395,952	07/1998	Buczwinski et al.		01116	
	A22	D396,636	08/1998	Wilfong, Jr.			
	A23	D397,265	08/1998	Badillo			
	A24	D409,086	05/1999	Brown et al.			
	A25	D412,439	08/1999	Cormack			
	A26	D414,637	10/1999	Amundson et al.			
	A27	D416,794	11/1999	Cormack			
	A28	D417,109	11/1999	Johnson et al.			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A29	D417,987	12/1999	Velazquez			
	A30	D418,059	12/1999	Hill			
	A31	D422,437	04/2000	Conran et al.			
	A32	D429,282	08/2000	Velazquez et al.			
	A33	US D443,450	06/2001	Ruhotas et al.			
	A34	US D445,028	07/2001	Grubstein			
	A35	1,664,392	04/1928	Baruch			
	A36	2,004,614	06/1935	Meagher			
	A37	2,440,974	05/1948	Resch	-		
	A38	2,840,266	06/1958	Nelson	R	ECEIV	ED
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	A40	3,095,991	07/1963	Paniagua	J	AN 1 7 20	103
	A41	3,239,097	03/1966	Bates et al.	TECUN	DLOGY CENT	FR R3700
	A42	3,369,699	02/1968	Enloe et al.	TEOTIN	DEGG! OE.	
	A43	3,532,210	10/1970	Minion et al.			
	A44	3,603,519	09/1971	Brown et al.			
	A45	3,633,838	01/1972	Krueger			
	A46	3,656,699	04/1972	Schnyder et al.			
	A47	3,713,170	01/1973	Kaufman			15
	A48	3,729,145	04/1973	Koo et al.		EU	11 1 2 2
	A49	3,749,296	07/1973	Harrison		AUG 3 0	2002
	A50	3,754,804	08/1973	Cushman		HUU !	
	A51	3,771,399	11/1973	Aterianus	G	ROUL	() () ()
	A52	3,771,739	11/1973	Nelson			
	A53	3,780,908	12/1973	Fitzpatrick et al.			
	A54	3,784,055	01/1974	Anderson			
* 1.	A55	3,788,573	01/1974	Thomson et al.			
	A56	3,795,355	03/1974	Gerstein			****

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Initials		Doc. No.	Date	Name		Class	Subclass	Filing Date
	A57	3,806,055	04/1974	Bauman		-		
	A58	3,824,953	07/1974	Boone				
	A59	3,836,044	09/1974	Tilp et al.				
	A60	3,836,045	09/1974	Duhy et al.				
	A61	3,841,466	10/1974	Hoffman et al.				
	A62	3,848,822	11/1974	Boone				
	A63	3,865,271	02/1975	Gold				
	A64	3,868,052	02/1975	Rockefeller				_
	A65	3,890,622	06/1975	Alden				-
	A66	3,913,522	10/1975	Light				
	A67	3,943,859	03/1976	Boone				
	A68	3,967,756	07/1976	Barish				
	A69	3,970,215	07/1976	McLaren et al.				
	A70	3,973,695	08/1976	Ames				
	A71	3,979,019	09/1976	Bliss				
	A72	3,982,659	09/1976	Ross				
	A73	3,994,417	11/1976	Boedecker				
	A74	4,002,264	01/1977	Marchesani		EC	100 1 2 F 100 100 100 100 100 100 100 100 100 1	
	A75	4,004,687	01/1977	Boone	()			
	A76	4,017,002	04/1977	Doyle et al.		AUG 3	0 2002	
-	A77	4,025,004	05/1977	Massey	ĭ O		173 C G(7
	A78	4,043,519	08/1977	Suzuki et al.	G	LOF	173 6 36	w
	A79	4,069,789	01/1978	Fukagawa et al.				
-	A80	4,071,200	01/1978	Stone				
	A81	4,098,469	07/1978	McCarthy				
	A82	4,101,026	07/1978	Bonk				
	A83	4,106,433	08/1978	Fernando et al.				
	A84	4,106,616	08/1978	Boone	·			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A85	4,114,824	09/1978	Danielak			
	A86	4,124,259	11/1978	Harris			
	A87	4,131,195	12/1978	Worrell, Sr.			
	A88	4,133,457	01/1979	Klassen			
	A89	4,135,199	01/1979	Kurland et al.		RECE	MED
	A90	4,135,678	01/1979	Williams			
	A91	4,138,034	02/1979	McCarthy		AUG 3	2002
	A92	4,179,078	12/1979	Mansfield	C	DOLL	0000
	A93	4,180,160	12/1979	Ogawa et al.		indal	3600
	A94	4,191,317	03/1980	Harkins			
	A95	4,200,200	04/1980	Hein, III et al.			
	A96	4,205,802	06/1980	Economakis			
	A97	4,219,129	08/1980	Sedgwick			
	A98	4,222,621	09/1980	Greenlee et al.			
	A99	4,235,333	11/1980	Boone			
	A100	4,238,541	12/1980	Burton			
	A101	4,244,493	01/1981	Harrison			
	A102	4,260,117	04/1981	Perrin et al.		•	
	A103	4,272,473	06/1981	Riemersma et al.			
	A104	4,274,573	06/1981	Finkelstein			
	A105	4,280,978	07/1981	Dannheim et al.			
	A106	4,289,262	09/1981	Finkelstein			
	A107	4,294,389	10/1981	Falk et al.			
	A108	4,328,907	05/1982	Beard			
	A109	4,337,876	07/1982	Thompson			
	A110	4,353,480	10/1982	McFadyen			
	A111	4,363,454	12/1982	Mohar			
	A112	4,375,874	03/1983	Leotta et al.			
	A113	4,383,656	05/1983	Campbell			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
-	A114	4,401,248	08/1983	Helms			
	A115	4,411,374	10/1983	Hotchkiss			
	A116	4,425,012	01/1984	Kley			
	A117	4,427,159	01/1984	Miller et al.			
	A118	4,428,497	01/1984	Julius et al.			
	A119	4,432,504	02/1984	Pace			
	A120	4,436,221	03/1984	Margulies			
	A121	4,447,015	05/1984	Peterson			
	A122	4,453,634	06/1984	Blumenthal			
-	A123	4,463,912	08/1984	Grunerud	DE		and aireage
	A124	4,467,974	08/1984	Crim	111	CEIV	
	A125	4,526,291	07/1985	Margulies	AU	G 3 0 200	2
	A126	4,550,855	11/1985	Harrison			
	A127	4,562,938	01/1986	Loder	GHU	JUP C	أدت كعة ع
	A128	4,564,148	01/1986	Wentworth			
	A129	4,570,820	02/1986	Murphy			
	A130	4,586,630	05/1986	Loder			
	A131	4,586,631	05/1986	Loder			
	A132	4,607,809	08/1986	Sineni et al.			
	A133	4,648,530	03/1987	Granger			
	A134	4,651,895	03/1987	Niske et al.			
	A135	4,659,028	04/1987	Wren			
	A136	4,662,576	05/1987	Paul			
	A137	4,662,577	05/1987	Lewis			
	A138	4,667,890	05/1987	Gietman, Jr.			
	A139	4,681,240	07/1987	Wyant			
	A140	4,684,075	08/1987	Francis			
	A141	4,687,153	08/1987	McNeil			

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THADE			U.S. P	ATENT DOCUMENTS			
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A142	4,690,345	09/1987	Cotey			
	A143	4,721,264	01/1988	Muscarello			
	A144	4,735,317	04/1988	Sussman et al.			
	A145	4,756,485	07/1988	Bastian et al.			
	A146	4,784,290	11/1988	Howard			
	A147	4,790,490	12/1988	Chakravorty			
	A148	4,807,823	02/1989	Wyant			
	A149	4,826,063	05/1989	Ban			
	A150	4,828,193	05/1989	Galbraith			
	A151	4,830,301	05/1989	Miller			
	A152	4,834,316	05/1989	DeLorean			
	A153	4,836,368	06/1989	Cotton			
	A154	4,836,462	06/1989	Bruss			
	A155	4,846,412	07/1989	Morand			
	A156	4,848,575	07/1989	Nakamura et al.			
	A157	4,860,893	08/1989	Kaufman	:Dr		- Com. 100
	A158	4,877,133	10/1989	Klenter et al.		CEIV	lacia ami
	A159	4,883,197	11/1989	Sanchez et al.	· L	UG 3 0 2	102
	A160	4,884,690	12/1989	Klenter et al.			
	A161	4,890,205	12/1989	Shaffer	GH	OUP 3	
-	A162	4,913,365	04/1990	Shamass			
	A163	4,936,452	06/1990	Pauley			
	A164	4,940,626	07/1990	Rhodes, III et al.	}		
	A165	4,978,095	12/1990	Phillips			
	A166	4,984,530	01/1991	Dutton			
	A167	4,989,800	02/1991	Tritch			
	A168	4,991,538	02/1991	Davids et al.			
	A169	5,000,393	03/1991	Madsen			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A170	5,001,956	03/1991	Nitsch			
	A171	5,009,313	04/1991	Morand			
	A172	5,012,986	05/1991	Needle			
	A173	5,029,787	07/1991	Florentin			
	A174	5,054,676	10/1991	Ban			
	A175	5,070,171	12/1991	O'Lenick, Jr.			_
	A176	5,114,771	05/1992	Ogg et al.			
	A177	5,137,173	08/1992	Hughes et al.			_
	A178	5,141,171	08/1992	Yang			_
	A179	5,145,091	09/1992	Meyers			
	A180	5,149,765	09/1992	O'Lenick, Jr.			
	A181	5,154,496	10/1992	Campbell et al.			
•	A182	5,172,840	12/1992	Bloch et al.		DEOF	
	A183	5,192,044	03/1993	Baskin		HEU	EIVED
	A184	5,193,759	03/1993	Bigelow et al.		AUG 3	0 2002
	A185	5,195,689	03/1993	Beer et al.			
	A186	5,207,367	05/1993	Dunn et al.		GROU	4360
	A187	5,219,092	06/1993	Morand			
-	A188	5,228,632	07/1993	Addison et al.			
	A189	5,235,017	08/1993	O'Lenick, Jr.			
	A190	5,237,035	08/1993	O'Lenick, Jr. et al.			
	A191	5,253,818	10/1993	Craddock			
	A192	5,255,800	10/1993	Kelly			
	A193	5,260,401	11/1993	O'Lenick, Jr.			
	A194	5,277,375	01/1994	Dearwester			
	A195	5,310,262	05/1994	Robison et al.			
	A196	5,311,986	05/1994	Putz			
	A197	5,312,883	05/1994	Komatsu et al.			

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Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date
	A198	5,316,177	05/1994	Boldt			
	A199	5,317,063	05/1994	Komatsu et al.			
	A200	5,335,811	08/1994	Morand			
	A201	5,368,157	11/1994	Gasparrini et al.			
	A202	5,370,336	12/1994	Whittington			
	A203	5,374,008	12/1994	Halvorson et al.			
	A204	5,384,189	01/1995	Kuroda et al.			
	A205	5,392,945	02/1995	Syrek			
	A206	5,400,982	03/1995	Collins			
	A207	5,409,181	04/1995	Patrick			
	A208	5,411,729	05/1995	O'Lenick, Jr.			
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	A211	5,449,127	09/1995	Davis	H	ECEI\	ノロレ
	A212	5,456,420	10/1995	Frazier		AUG 3 0 2	2002
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	A214	5,464,096	11/1995	Hurwitz	G	ROUP	3500
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,	A226	5,542,567	08/1996	Julius			
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	A248	5,660,313	08/1997	Newbold			
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	A251	5,672,206	09/1997	Gorman			
	A252	5,687,875	11/1997	Watts et al.			
	A253	5,697,576	12/1997	Bloch et al.			
	A254	5,697,577	12/1997	Ogden			
	A255	5,704,471	01/1998	Yamada			
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Serial No.:

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Group: 3

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